#### **Abstract**

#### **Project #24-004**

#### **Evaluating Updates to CAMx and NOx Emission Inventories using TEMPO Measurements over Texas**

Jeremiah Johnson (Principal Investigator) Ramboll Novato, CA

Dr. Dan Goldberg (co-Principal Investigator) George Washington University Washington, D.C.

Dr. Benjamin de Foy (co-Principal Investigator) Saint Louis University Saint Louis, MO

Nitrogen oxide (NOx) emissions are critical to ozone formation in Texas and consequently accurate NOx emission inventories are essential to air quality planning using the Comprehensive Air Quality Model with Extensions (CAMx). Previous work by our team showed that highly resolved (sub 1 km) NO<sub>2</sub> column measurements by the National Aeronautics and Space Administration (NASA) Geostationary Coastal and Air Pollution Events (GEO-CAPE) Airborne Simulator (GCAS) aircraft can constrain the CAMx NOx emission inventory for Houston with source-category specificity. In this project, we will evaluate whether  $NO<sub>2</sub>$  column measurements by the new NASA Tropospheric Emissions: Monitoring of Pollution (TEMPO) satellite can constrain CAMx NOx emission inventories as successfully as the GCAS aircraft. At the same time, we will investigate how improving the CAMx NOx chemistry (i.e., particle nitrate photolysis), NO<sup>2</sup> vertical distribution and soil NOx emission inventory influence CAMx agreement with measured NO<sup>2</sup> columns. This project will determine how the new Tropospheric Emissions: Monitoring of Pollution (TEMPO) satellite can be used for NOx emission inventory evaluation. Lessons learned and techniques developed for this project could be applied to other areas in the United States.

# **Quality Assurance Project Plan (QAPP)**

# **Project 24 – 004 Evaluating Updates to CAMx and NOx Emission Inventories using TEMPO Measurements over Texas**

# **Prepared for Texas Air Quality Research Program (AQRP) The University of Texas at Austin**

**Prepared by**

## **Jeremiah Johnson (Principal Investigator) Ramboll Novato, CA**

## **September 9, 2024 Version #2**

Ramboll has prepared this QAPP following the Environmental Protection Agency (EPA) guidelines combining Quality Assurance (QA) Category III: Research Model Development and Applications and Category IV Projects: Secondary Data. It is submitted to the Texas Air Quality Research Program (AQRP) as required in the Work Plan requirements.

QAPP Requirements:

- 1. Project Description and Objectives
- 2. Organization and Responsibilities
- 3. Scientific Approach
	- 3.1 Satellite-based observations
	- 3.2 CAMx simulations
	- 3.3 Flux divergence analysis
	- 3.4 MLR analysis
- 4. Quality Metrics
- 5. Data Analysis, Interpretation and Management
- 6. Discussion of WRF and CAMx
	- 6.1 Selection
		- 6.2 Calibration
		- 6.3 Verification
		- 6.4 Evaluation
		- 6.5 Documentation
- 7. Discussion of Flux Divergence
	- 7.1 Selection
	- 7.2 Calibration
	- 7.3 Validation
	- 7.4 Documentation
- 8. Discussion of MLR
	- 8.1 Selection
	- 8.2 Calibration
	- 8.3 Validation
	- 8.4 Documentation
- 9. Audits of Data Quality
- 10. Reporting

QA Requirements: Technical Systems Audits - Not Required for the Project Audits of Data Quality – 10% Required Report of Findings – Required in Final Report

#### **Approvals Sheet**

This document is a Category III Quality Assurance Project Plan for the Evaluating Updates to CAMx and NOx Emission Inventories using TEMPO Measurements over Texas project. The Principal Investigator for the project is Jeremiah Johnson and Co-PIs are Dan Goldberg and Benjamin de Foy.

Electronic Approvals:

## **This QAPP was approved electronically on**  2024-09-22 | 08:05:19 CDT **by Elena McDonald-Buller, The University of Texas at Austin.**

Signed by: Elena McDonald-Buller

Project Manager, Texas Air Quality Research Program

## **This QAPP was approved electronically on**  2024-09-20 | 12:59:32 PDT**by Vincent M. Torres, The University of Texas at Austin.**



Quality Assurance Project Plan Manager, Texas Air Quality Research Program

## **This QAPP was approved electronically on**  2024-09-20 | 07:56:13 CDT **By Robert Keirstead, Texas Commission on Environmental Quality.**



TCEQ Liaison, Texas Commission on Environmental Quality

## **This QAPP was approved electronically on**  2024-09-20 | 09:39:39 CDT **By Jeremiah Johnson, Ramboll**

Signed by: resement (Japason

Principal Investigator, Ramboll

#### **QAPP Distribution List**

Texas Air Quality Research Program David Allen, Director Elena McDonald-Buller, Project Manager Vincent M. Torres, QAPP Manager

Texas Commission on Environmental Quality Robert Keirstead, Project Liaison

Ramboll Jeremiah Johnson, Principal Investigator

George Washington University Dan Goldberg, Co-Principal Investigator

Saint Louis University Benjamin de Foy, Co-Principal Investigator

## **1.0 Project Description and Objectives**

This project will determine how Tropospheric Emissions Monitoring of Pollution (TEMPO) can be used for NOx emissions evaluation. We will use TEMPO  $NO<sub>2</sub>$ measurements to evaluate CAMx model updates to NOx chemistry, vertical distribution of NO<sup>2</sup> and the NOx emission inventory. Lessons learned and techniques developed for this project could be applied to other areas in the United States. The project will also demonstrate the capability to estimate NOx emissions using the flux divergence method and multilinear regression model applied to TEMPO  $NO<sub>2</sub>$  measurements.

In this project we will use hourly TEMPO NO<sub>2</sub> information ( $2 \times 4.5$  km<sup>2</sup>). Complementing the satellite observations, we will run CAMx with 4  $\times$  4 km<sup>2</sup> spatial resolution and NO<sub>2</sub> sector-based source apportionment over Texas using the 2019 TCEQ emissions inventory. First, we will develop aircraft emissions and enhanced soil NOx emissions and perform an initial CAMx simulation. After developing diurnal NOx emissions estimates from TEMPO, we will then compare CAMx model output with TEMPO data to generate an initial set of baseline  $NO<sub>2</sub>$  column comparisons. Following this initial set of baseline comparisons, we will make modifications to the CAMx modeling configuration that include CAMx updates to aerosol nitrate photolysis and vertical distribution of  $NO<sub>2</sub>$ . We will estimate NOx emissions using the flux divergence method to determine whether TEMPO can help constrain the TCEQ NOx emission inventory over Texas cities. Finally, we will use TEMPO NO<sub>2</sub> measurements and tagged NO<sub>2</sub> columns in a multi-linear regression model to estimate scaling factors for NOx emissions categories.

## **2.0 Organization and Responsibilities**

## **2.1 Responsibilities of Project Participants**

Mr. Jeremiah Johnson will lead the project as PI and coordinate collaboration with Dr. Daniel Goldberg (co-PI), Dr. Daniel Huber and Dr. Benjamin de Foy (co-PI) who will be consultants to Ramboll.

Mr. Jeremiah Johnson will oversee the emissions processing, CAMx model development, WRF and CAMx simulations and lead quality assurance review for all modeling activities. Dr. Daniel Goldberg will process the TEMPO satellite data, create diurnal NO<sub>2</sub> profiles, compare the TEMPO  $NO<sub>2</sub>$  columns with CAMx  $NO<sub>2</sub>$  columns and perform quality assurance review for all satellite data processing and analysis. Dr. Benjamin de Foy will calculate diurnal NOx emissions by sector from TEMPO satellite measurements applying flux divergence and advanced statistical methods and will perform quality assurance review. Dr. Daniel Huber will modify the default soil NOx parameterization within the MEGAN biogenic emissions model to include an updated soil moisture function and perform quality assurance review for the code updates.

## **2.2 Project Schedule**

The planned duration of the project is 14 months (August 2024 – September 2025). Figure 5 presents the proposed schedule for each task and project deliverable.



**Figure 5.** Proposed project schedule.

## **3.0 Scientific Approach**

## **3.1 Satellite-based observations**

Satellites measure the column abundance of  $NO<sub>2</sub>$ , known as the vertical column density (VCD). All analysis will utilize data from the Tropospheric Emissions: Monitoring of POllution (TEMPO) instrument and the Tropospheric Monitoring Instrument (TROPOMI). TEMPO was launched in April 2023 and has been acquiring measurements over North America since August 2, 2023 at a spatial resolution of 4.5 km x 2 km at its center of field of regard. TEMPO is a geostationary instrument and typically acquires measurements once per hour during daylight hours. However, the instrument has the capability to enter a "rapid scan" mode, acquiring more than one observation per hour at the same spatial resolution. TROPOMI is a polar-orbiting instrument with once per day coverage in the extratropics at 13:30 local time with a nadir resolution of 5.5 km  $\times$  3.5 km. TROPOMI was launched in October 2017 and has been acquiring measurements since April 30, 2018. While TEMPO has better temporal and spatial coverage over North America than TROPOMI, the TEMPO NO<sup>2</sup> algorithm is nascent compared to the more mature TROPOMI NO<sub>2</sub> algorithm which has been more thoroughly evaluated.

We will screen the TEMPO  $NO<sub>2</sub>$  data for clouds and erroneous data using a cloud fraction filter of <0.15 and a non-zero "qa\_flag" which screens out erroneous measurements, as

recommended by the TEMPO Users' Guide<sup>1</sup>. We will screen the TROPOMI data for clouds and erroneous data using the recommended qa $\,$  flag  $>$  0.75 filter. All TEMPO and TROPOMI NO<sup>2</sup> data are publicly available on NASA Earthdata [\(https://search.earthdata.nasa.gov/\)](https://search.earthdata.nasa.gov/). Care must be taken in the interpretation of satellite column retrievals as an indicator of near-surface emissions (Streets et al., 2013).

We will grid the TEMPO and TROPOMI data for comparison with CAMx output. This will be performed with publicly available IDL code (Goldberg et al., 2021) and allows users to reformat data on to any grid type, including that of a model simulation. TEMPO and TROPOMI data will be gridded for the data analysis period: August - October 2023 with a focus on September 2023.

#### **3.2 CAMx simulations**

Model simulations will be conducted by Ramboll, by adapting TCEQ's 2019 State Implementation Plan (SIP) WRF and CAMx modeling platforms for the August 25 – September 30, 2023 modeling period. The WRF and CAMx modeling domains at 36, 12, and 4 km are the same as those used in the AQRP 22-023 project. The 36 km, 12 km and 4 km domains are the TCEQ SIP domains, which are used for other modeling efforts by the TCEQ and Ramboll. Chemical analysis is performed by CAMx v7.30 with input meteorology calculated by WRF version 4.6 with Global Forecast System (GFS)  $0.25^\circ \times$ 0.25° analysis data for initial/boundary conditions.

We will use anthropogenic emissions from the 2019 TCEQ modeling inventory (closest to 2023 available) and August – September 2023 hourly CEMS data for power plants. Anthropogenic emissions will be from the 2019 TCEQ modeling inventory (closest to 2023 available) with addition of aircraft climb-out (above 1 km) and cruise emissions based on the Emissions Database for Global Atmospheric Research (EDGAR) 0.1° global database (Crippa et al., 2023). Lightning NOx (LNOx) emissions will be developed using Ramboll's LNOx processor. Biogenic emissions will be developed using our WRF simulation and the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 3.2 developed by Ramboll in AQRP project 18-005 (Guenther et al., 2012). We will update the soil NOx (SNOx) parameterization within MEGAN v3.2 from the standard BDSNP algorithm to use the soil moisture function of Huber et al. (2023).

The modeling team will create grids of source-apportioned  $NO<sub>2</sub>$  concentrations between the surface and the top of the troposphere. We will then calculate the vertical column between the surface and ~13 km to match the TEMPO and TROPOMI satellite observations.

 $\overline{\phantom{a}}$ 

<sup>1</sup> https://asdc.larc.nasa.gov/documents/tempo/guide/TEMPO\_Level-2-

<sup>3</sup>\_trace\_gas\_clouds\_user\_guide\_V1.0.pdf

## **3.3 Flux divergence NO<sup>X</sup> emissions quantification method**

 $NO<sub>x</sub>$  emission rates can be inferred from  $NO<sub>2</sub>$  using a combination of spatially continuous NO<sup>2</sup> airshed measurements, wind data, and statistical inversion techniques. By tracking the  $NO<sub>2</sub>$  plume decay since origination, the  $NO<sub>X</sub>$  emissions at the source can be back-calculated. For this project, we will estimate NOx emissions using the flux divergence method (Beirle et al., 2019):

 $NO<sub>x</sub>$  Emissions = 1.32( $\nabla \cdot (VCD \cdot u)$ ) (1)

Fluxes of NO<sub>2</sub> are obtained by multiplying NO<sub>2</sub> vertical column densities (VCDs) with wind speeds (u) in orthogonal directions. The divergence of the fluxes yields an emission estimate in units of mol m<sup>-2</sup> s<sup>-1</sup>. The fluxes can then be integrated across the 2-D urban area to get emission rates. Estimates of NOx emissions are obtained by multiplying the estimates by the ratio of NOx to NO<sub>2</sub>, which is the same 1.32 value as the Exponentially Modified Gaussian (EMG) method (Beirle et al., 2021). We will use the same 100 m ERA5 wind product as used in the literature (Beirle et al., 2021).

## **3.4 Multi-Linear Regression analysis**

We will build a Multiple Linear Regression Model (MLR) to find the optimal combination of the sectoral emissions simulated by CAMx that match the TEMPO retrievals, following the method described in Goldberg et al. (2023).

The MLR model applies a scaling factor to each source sector that is simulated independently in the CAMx source apportionment runs in order to obtain an optimum match between the TEMPO retrievals and the total CAMx NO<sub>2</sub> vertical column densities. The model will produce adjustment factors for  $NO<sub>x</sub>$  emissions from each sector, which will be used to develop an optimized emission inventory. A second set of CAMx simulations will be conducted to test the level of improvement obtained by the procedure. The MLR method was developed and validated over Houston using the GCAS measurements (Goldberg et al., 2023; 2024).

## **4.0 Quality Metrics**

TEMPO and TROPOMI measurements will be gridded to the CAMx 4.0 x 4.0 km<sup>2</sup> domain retaining original quality flags, along with information about the averaging kernel, solar zenith angle, and cloud cover. Satellite data will be screened for quality assurance flags in accordance with best practices recommended by the satellite product team, as discussed in Section 3.1.

We will calculate NO<sub>2</sub> vertical columns from CAMx in a manner appropriate for comparison with TEMPO and TROPOMI satellite data (between surface and ~13 km).

## **5.0 Data Analysis, Interpretation and Management**

#### **5.1 Data Reporting**

Data reporting procedures will be documented in the project final report as discussed under Section 10. Data reduction procedures for satellite-based measurements are described in Section 3.1.

#### **5.2 Data Validation**

Data validation procedures are discussed under Sections 7.3 and 8.3.

#### **5.3 Data Analysis Procedures**

Qualitative and quantitative analysis will be performed to identify the role of emissions in contributing to column abundance of  $NO<sub>2</sub>$  and as observed from satellites. This procedure includes: a) comparing TEMPO and TROPOMI data with ground measurements (Pandora instruments); b) comparing TEMPO and TROPOMI data with CAMx model output; c) comparing remote sensing derived NOx emissions with known power plant emissions; d) using all sources of data to explain and evaluate agreement and disagreement among these data sources.

## **5.4 Data Storage**

All modeling and measurement data associated with this project will be stored on a local computer and backed up to an external hard drive and transferred to AQRP following the completion of the project.

## **6.0 Discussion of WRF and CAMx**

#### **6.1 Selection**

WRF and CAMx are state-of-the-science modeling systems, under regular review and development, used in both regulatory and research applications. We select to use the WRF CAMx models for the availability of the 2019 TCEQ SIP modeling platform and database available for our area of interest. Our project team has employed WRF and CAMx successfully for comparison with TROPOMI as part of AQRP project 22-023 (Goldberg et al. 2023; 2024; Nawaz et al., 2024) and AQRP project 20-020 (Goldberg et al., 2022; Holloway et al., 2021).

## **6.2 Calibration**

We will calibrate the WRF and CAMx models by comparing CAMx output concentrations to available NO<sub>2</sub> and ozone air quality measurements using ground-based TCEQ Continuous Ambient Monitoring Stations (CAMS) across Texas and assessing whether agreement falls within accepted ranges (e.g., Emery et al., 2017). As part of this project, we will make updates to the CAMx model intended to improve agreement with TEMPO and TROPOMI satellite measurements, but we will also evaluate the impacts of these updates to surface measurements using statistical measures including normalized mean bias and error (NMB and NME), root mean squared error (RMSE) and correlation coefficient (r). WRF meteorological outputs will be evaluated against available surface weather stations using Ramboll's METSTAT software if resources permit.

## **6.3 Verification**

Model verification will be performed by processing  $NO<sub>2</sub>$  and ozone concentrations and using visualization software to ensure that the results are in a reasonable range. The review of concentration output fields will be performed independently by Ramboll staff who did not conduct the CAMx modeling. A minimum of 10% of the data generated in this study will be audited for data quality through visualization of outputs of the CAMx photochemical modeling.

#### **6.4 Evaluation**

Evaluation of WRF and CAMx modeling for AQRP project 20-023 is described by Goldberg et al., (2023) and Nawaz et al. (2024). Ambient  $NO<sub>2</sub>$  and  $O<sub>3</sub>$  concentrations simulated by CAMx will be compared to ground-based observations of  $NO<sub>2</sub>$  and  $O<sub>3</sub>$  at TCEQ CAMS across Texas during the modeling time period. We will evaluate the chemistry updates by examining  $NO<sub>2</sub>$  columns oxidant concentrations in continental and marine environments (especially over the Gulf of Mexico). We will evaluate the vertical mixing updates by examining surface  $NO<sub>2</sub>$  concentrations,  $NO<sub>2</sub>$  vertical profiles and  $NO<sub>2</sub>$ columns for urban and rural areas of Texas.

## **6.5 Documentation**

The CAMx User's Guide is available online ([http://camx-](http://camx-wp.azurewebsites.net/Files/CAMxUsersGuide_v7.30.pdf)

[wp.azurewebsites.net/Files/CAMxUsersGuide\\_v7.30.pdf\)](http://camx-wp.azurewebsites.net/Files/CAMxUsersGuide_v7.30.pdf). Namelist files used to run CAMx will be included in appropriate technical and final reporting. Documentation for WRF is provided in Skamarock (2021) and in the user's guide (https://www2.mmm.ucar.edu/wrf/users/wrf\_users\_guide/build/html/index.html).

## **7.0 Discussion of Flux Divergence**

## **7.1 Selection**

The flux divergence technique used in AQRP Project 22-023 and documented in Goldberg et al. (2023; 2024) was selected for its ability to produce emission estimates directly from TROPOMI data without information from a model simulation.

#### **7.2 Calibration**

Calculation of parameters in the flux divergence approach (Goldberg et al., 2019a; 2019b; 2019c) are based on wind speed and direction, which will be taken from the Ramboll WRF simulation at 4 km. We will compare the meteorology from WRF to widely available re-analysis data (such as the ECMWF ERA-5) for the purpose of anticipating how using re-analysis data (rather than WRF simulations) may influence the flux divergence analysis. In AQRP Project 22-023, we found reduced noise when using CAMx air mass factors in addition to WRF meteorology (Goldberg et al., 2023; 2024).

#### **7.3 Validation**

Emissions estimates from the flux divergence technique will be compared to emissions from the WA Parish Generating Station, which is large and a relatively isolated point source that has well-constrained emissions measured by CEMS.

#### **7.4 Documentation**

The flux divergence method is described in Beirle et al. (2019; 2023).

## **8.0 Discussion of MLR**

#### **8.1 Selection**

The MLR model can identify the contributions of individual emission source sectors to the total NO<sup>2</sup> VCDs. The MLR method is an inverse method that identifies scalar adjustment factors for each source sector to improve the overall match of the CAMx simulations. This method was developed for Houston using GCAS measurements and CAMx simulations (Goldberg et al., 2023; 2024).

#### **8.2 Calibration**

Calibration entails comparing the MLR source adjustment factors to improvements in air quality measurements and assessing whether agreement falls within accepted ranges, and determining whether action must be taken to recalibrate the model. If the model falls outside an accepted range, the method will identify sectors that could be simulated separately in future analysis to improve model performance.

#### **8.3 Validation**

To test the quality of the method, we will apply the MLR to evaluate scaling factors on modeled sectors compared with total modeled columns (rather than compared with TEMPO columns). Since the model results are the combination of all sectors without scaling factors, the MLR method should return adjustment factors close to 1. Any departure will indicate the presence of uncertainty in the method. To evaluate the uncertainty in the method we will use a double bootstrap procedure. The retrieval times used in the analysis will be selected at random (with replacement). Within each scene, the pixels selected will also be selected at random (with replacement). This procedure is carried out 100 times for each level of bootstrapping, leading to 10,000 simulations. The resulting scaling factors will therefore include both mean values and standard deviation of the uncertainty.

#### **8.4 Documentation**

The method is described in detail in Goldberg et al. (2023; 2024).

## **9.0 Audits of Data Quality**

Per requirements for Category III projects, we will audit a minimum 10% of the input data used in all aspects of the project.

A member of the research team not involved with the creation of a dataset will review 10% or more of the dataset for quality assurance purposes. A report of the findings from these Audits of Data Quality will be included in the draft and final report. This independent review will entail data visualization and discussion of qualitative and quantitative metrics.

## **10.0 Reporting**

As required, we will provide regular and timely submission of monthly technical reports, monthly financial status reports, and quarterly reports as well as an abstract at project initiation and, near the end of the project, submission of the draft final and final reports, according to the schedules given below.

Mr. Johnson, or his designee, will electronically submit each required report to both the AQRP and TCEQ liaisons and will follow the State of Texas accessibility requirements as set forth by the Texas State Department of Information Resources per https://aqrp.ceer.utexas.edu/. All drafts of planned presentations (such as at technical conferences), or manuscripts to be submitted for publication resulting from this project, will be provided to both the AQRP and TCEQ liaisons per the Publication/Publicity Guidelines included in Attachment G of the subaward.

Mr. Johnson will lead reporting activities with assistance from co-PIs and his team at Ramboll. Project data to be submitted to the AQRP archive will include all gridded NO<sub>2</sub> data from TEMPO, TROPOMI and CAMx over the study domain and period.

**Abstract:** At the beginning of the project, an Abstract will be submitted to the Project Manager for use on the AQRP website. The Abstract will provide a brief description of the planned project activities, and will be written for a non-technical audience.

**Abstract Due Date:** Friday, August 23, 2024

**Quarterly Reports:** The Quarterly Report will provide a summary of the project status for each reporting period. It will be submitted to the Project Manager as a Word document file. It will not exceed 3 pages and will be text only. No cover page is required. This document will be inserted into an AQRP compiled report to the TCEQ.

#### **Quarterly Report Due Dates:**



**Monthly Technical Reports (MTRs):** Technical Reports will be submitted monthly to the Project Manager and TCEQ Liaison in Microsoft Word format using the AQRP FY24-25 MTR Template found on the AQRP website.



#### **MTR Due Dates:**

*DUE TO PROJECT MANAGER*

**Financial Status Reports (FSRs):** Financial Status Reports will be submitted monthly to the AQRP Grant Manager (RoseAnna Goewey) by each institution on the project using the AQRP 22-23 FSR Template found on the AQRP website.

#### **FSR Due Dates:**



*DUE TO GRANT MANAGER*

**Draft Final Report:** A Draft Final Report will be submitted to the Project Manager and the TCEQ Liaison. It will include an Executive Summary and a report of the findings from the Audits of Data Quality. It will be written in third person and will follow the State of Texas accessibility requirements as set forth by the Texas State Department of Information Resources.

#### **Draft Final Report Due Date:** Friday, August 1, 2025

**Final Report:** A Final Report incorporating comments from the AQRP and TCEQ review of the Draft Final Report will be submitted to the Project Manager and the TCEQ Liaison. It will be written in third person and will follow the State of Texas accessibility requirements as set forth by the Texas State Department of Information Resources.

#### **Final Report Due Date:** Sunday, August 31, 2023

**Project Data:** All project data including but not limited to QA/QC measurement data, databases, modeling inputs and outputs, etc., will be submitted to the AQRP Project Manager within 30 days of project completion. The data will be submitted in a format that will allow AQRP or TCEQ or other outside parties to utilize the information.

**AQRP Workshop:** A representative from the project will present at the AQRP Workshop in the first half of August 2025. The selected date will be updated.

## **11.0 References**

- Beirle, S., Borger, C., Dörner, S., Eskes, H., Kumar, V., de Laat, A., and Wagner, T. Catalog of NO<sub>x</sub> emissions from point sources as derived from the divergence of the  $NO<sub>2</sub>$ flux for TROPOMI, Earth Syst. Sci. Data, 13, 2995–3012, https://doi.org/10.5194/essd-13-2995-2021, 2021.
- Beirle, S., Borger, C., Dörner, S., Li, A., Hu, Z., Liu, F., Wang, Y. and Wagner, T. 2019. Pinpointing nitrogen oxide emissions from space. Science advances, 5(11), p.eaax9800.
- Beirle, S., Borger, C., Jost, A., and Wagner T. 2023. "Improved catalog of NOx point source emissions (version 2)." Earth System Science Data 15, no. 7: 3051-3073.
- Crippa, M., Guizzardi, D., Butler, T., Keating, T., Wu, R., Kaminski, J., Kuenen, J., Kurokawa, J., Chatani, S., Morikawa, T., Pouliot, G., Racine, J., Moran, M. D., Klimont, Z., Manseau, P. M., Mashayekhi, R., Henderson, B. H., Smith, S. J., Suchyta, H., Muntean, M., Solazzo, E., Banja, M., Schaaf, E., Pagani, F., Woo, J.- H., Kim, J., Monforti-Ferrario, F., Pisoni, E., Zhang, J., Niemi, D., Sassi, M., Ansari, T., and Foley, K.: The HTAP\_v3 emission mosaic: merging regional and global monthly emissions (2000–2018) to support air quality modelling and policies, Earth Syst. Sci. Data, 15, 2667–2694, doi:10.5194/essd-15-2667-2023, 2023.
- Emery, C.E., Z. Liu, A.G. Russell, M.T. Odman, G. Yarwood and N. Kumar. 2016. Recommendations on statistics and benchmarks to assess photochemical model performance. J. of the Air and Waste Management Assoc., Vol. 67, Issue 5. DOI: 10.1080/10962247.2016.1265027. (https://www.tandfonline.com/doi/full/10.1080/10962247.2016.1265027).
- Goldberg, D.L., Lu, Z., Oda, T., Lamsal, L.N., Liu, F., Griffin, D., McLinden, C.A., Krotkov, N.A., Duncan, B.N., Streets, D.G., 2019a. Exploiting OMI NO<sub>2</sub> satellite observations to infer fossil-fuel  $CO<sub>2</sub>$  emissions from U.S. megacities. Sci. Total Environ. 695, 133805. https://doi.org/10.1016/j.scitotenv.2019.133805
- Goldberg, D.L., Lu, Z., Streets, D.G., de Foy, B., Griffin, D., McLinden, C.A., Lamsal, L.N., Krotkov, N.A., Eskes, H.J., 2019b. Enhanced Capabilities of TROPOMI NO2: Estimating NOx from North American Cities and Power Plants. Environ. Sci. Technol. 53, 12594–12601. https://doi.org/10.1021/acs.est.9b04488
- Goldberg, D. L., Saide, P. E., Lamsal, L. N., de Foy, B., Lu, Z., Woo, J.-H., Kim, Y., Kim, J., Gao, M., Carmichael, G., and Streets, D. G.: A top-down assessment using OMI NO<sub>2</sub> suggests an underestimate in the NO<sub>x</sub> emissions inventory in Seoul, South Korea, during KORUS-AQ, Atmos. Chem. Phys., 19, 1801–1818, https://doi.org/10.5194/acp-19-1801-2019, 2019c.
- Goldberg, D.L., Anenberg, S.C., Lu, Z., Streets, D.G., Lamsal, L.N., E McDuffie, E., Smith, S.J., 2021. Urban NOx emissions around the world declined faster than anticipated between 2005 and 2019. Environ. Res. Lett. 16, 115004. https://doi.org/10.1088/1748-9326/ac2c34
- Goldberg, D.L., Harkey, M., de Foy, B., Judd, L., Johnson, J., Yarwood, G. and Holloway, T., 2022. Evaluating NO x emissions and their effect on  $O_3$  production in Texas using TROPOMI NO<sub>2</sub> and HCHO. Atmospheric Chemistry and Physics, 22(16), pp.10875-10900.
- Goldberg, D.L., Nawaz, M.O., Johnson, J., Yarwood, G., Judd, L., de Foy, B., 2023. Sourcesector NOx emissions analysis with sub-kilometer scale airborne observations in Houston during TRACER-AQ AQRP Project 22-023.
- Goldberg, D.L., de Foy, B., Nawaz, M. O., Johnson, J., Yarwood, G., and Judd, L., 2024. Identifying Sources of NOx emissions from Aircraft through Source Apportionment and Regression Models. ChemRxiv. https://doi.org/10.26434/chemrxiv-2024-g65lr. This content is a preprint and has not been peer-reviewed.
- Guenther, A.B., Jiang, X., Heald, C.L., Sakulyanontvittaya, T., Duhl, T.A., Emmons, L.K. and Wang, X., 2012. The Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2. 1): an extended and updated framework for modeling biogenic emissions. Geoscientific Model Development, 5(6), pp.1471-1492.
- Holloway, T., Harkey, M., Kim, E., Johnson, J., Yarwood, G., Goldberg, D.L., 2021. New Satellite Tools to Evaluate Emission Inventories: Is a 3-D Model Necessary? rAQRP Project 20-020.
- Huber, D. E., Steiner, A. L. & Kort, E. A. 2023. Sensitivity of Modeled Soil NOx Emissions to Soil Moisture. Journal of Geophysical Research: Atmospheres. https://doi.org/10.1029/2022JD037611.
- Huber, D. E., Kort, E.A. & Steiner, A. L. 2024. Soil Moisture, Soil NOx and Regional Air Quality in the Agricultural Central United States. Journal of Geophysical Research: Atmospheres. https://doi.org/10.1029/2024JD041015.
- Nawaz, M. O., Johnson, J., Yarwood, G., de Foy, B., Judd, L. M., and Goldberg, D. L.: An intercomparison of satellite, airborne, and ground-level observations with WRF-CAMx simulations of NO<sup>2</sup> columns over Houston, TX during the September 2021 TRACER-AQ campaign, Atmos. Chem. Phys., 24, 6719–6741, https://doi.org/10.5194/acp-24-6719-2024, 2024.
- Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Liu, Z., Berner, J., Wang, W., Powers, J. G., Duda, M. G., Barker, D., Huang, X.Y. 2021. A Description of the Advanced Research WRF Model Version 4.3 (No. NCAR/TN-556+STR). doi:10.5065/1dfh-6p97.

#### **Scope of Work**

#### **Project #24-004 Evaluating Updates to CAMx and NOx Emission Inventories using TEMPO Measurements over Texas**

Prepared for

Air Quality Research Program (AQRP) The University of Texas at Austin

By

Jeremiah Johnson (Principal Investigator) Ramboll Novato, CA

Dr. Dan Goldberg (co-Principal Investigator) George Washington University Washington, D.C.

Dr. Benjamin de Foy (co-Principal Investigator) Saint Louis University Saint Louis, MO

> September 9, 2024 Version #2

QA Requirements: Audits of Data Quality: 10% Required Report of QA Findings: Required in Final Report

**NOTE: The Workplan package consists of three independent documents: Scope of Work, Quality Assurance Project Plan (QAPP), and budget and justification. Please deliver each document (as well as all subsequent documents submitted to AQRP) in Microsoft Word format.**

## **Approvals**

**This Scope of Work was approved electronically on by Elena McDonald-Buller, The University of Texas at Austin** 2024-09-22 | 08:05:19 CDT

-Signed by:  $\overline{20032089F0FE4A2...}$ 

Elena McDonald-Buller Project Manager, Texas Air Quality Research Program

**This Scope of Work was approved electronically on by Robert Keirstead, Texas Commission on Environmental Quality** 2024-09-20 | 07:56:13 CDT

Signed by:  $\begin{array}{ccc} \bullet & \nearrow & \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \nearrow & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \end{array}$   $\begin{array}{ccc} \bullet & \bullet & \bullet \\ \hline \$ 

Robert Keirstead Project Liaison, Texas Commission on Environmental Quality

## **Contents**



## <span id="page-23-0"></span>**1.0 Abstract**

Nitrogen oxide (NOx) emissions are critical to ozone formation in Texas. Our previous Air Quality Research Program (AQRP) project (22-023) showed that highly resolved  $NO<sub>2</sub>$  columns (sub 1 km) can constrain the Houston NOx emission inventory with source-category specificity. However, our Comprehensive Air quality Model with extensions (CAMx) air quality model suffered a region-wide lowbias in NO<sub>2</sub> columns similar to biases found by other models that have been attributed to deficits in background tropospheric NO2. Therefore, we will make several improvements to the NOx emission inventory and the CAMx model that aim to reduce this low bias. We will then test whether  $NO<sub>2</sub>$  columns from the new Tropospheric Emissions: Monitoring of Pollution (TEMPO) satellite can similarly constrain the Houston NOx emission inventory with source-category specificity. A challenge will be that TEMPO provides coarser spatial resolution (2 x 4.5 km<sup>2</sup>) than available to our previous work which used aircraft column NO<sub>2</sub> measurements at 250 x 560 m<sup>2</sup> spatial resolution, but TEMPO also provides hourly data resolution over more days which may compensate for less spatial resolution. We will also conduct several other analyses to directly investigate TEMPO  $NO<sub>2</sub>$  column data. This project is among the first to compare TEMPO NO<sup>2</sup> measurements with air quality model results and evaluate how TEMPO can be used for NOx emissions evaluation. We will again exploit the ability of CAMx to tag NO<sub>2</sub> concentrations (and columns) by source sector.

This project maps to at least three Research Priority Areas of the Texas AQRP, as shown in Table 1 below.



**Table 1.** How this project will respond to the AQRP Research Priority Areas.

## <span id="page-24-0"></span>**2.0 Background**

Previous studies have shown the unique benefit of using spatially continuous satellite data to evaluate  $NO<sub>X</sub>$  emissions in regional chemical transport model simulations (e.g., Canty et al., 2015; Curier et al., 2014; Harkey et al., 2015; Kemball-Cook et al., 2015; Souri et al., 2016; Travis et al., 2016). These studies compared satellite NO<sub>2</sub> columns to model simulations accounting for the vertical sensitivity of the satellite measurement. Results were mixed, but generally found that satellite NO<sub>2</sub> was larger than the model in rural areas and smaller in urban areas. These studies suggested a potential overestimate of  $NO<sub>x</sub>$  emissions in U.S. urban areas and demonstrated the importance of stratospheric transport, lightning  $NO<sub>X</sub>$  emissions, soil  $NO<sub>X</sub>$  emissions, and  $NO<sub>2</sub>$  chemical recycling. Prior work by scientists on this team, sponsored by AQRP (project 20-020), demonstrated the capability to estimate  $NO<sub>X</sub>$  emissions for the Dallas/Fort Worth metropolitan region for the summer of 2019 using the Tropospheric Monitoring Instrument (TROPOMI; Holloway et al., 2021). Agreement between satellite and modeled column  $NO<sub>2</sub>$ was within 20%. In a follow-up project focused on Houston (Goldberg et al., 2023; 2024a; Nawaz et al., 2024), the team was able to gain evidence for a low bias in the satellite instrument, that led to artificial agreement between satellite and model, and therefore modelled urban NOx emissions are now biased low (a model rural low bias still persisted as with previous studies). The latter project was also able to quantify neighborhood-scale and sector-by-sector NOx emission biases using a combination of satellite data, aircraft data, and sub-kilometer chemical transport models. Outside of Texas, the team has conducted similar analyses for other North American cities (Goldberg et al., 2019a, 2019b, 2024b), power plants (de Foy et al., 2015), South Asia (de Foy and Schauer, 2022), and global megacities (Goldberg et al., 2021) using TROPOMI and a complementary satellite instrument, the Ozone Monitoring Instrument (OMI).

Comparison of satellite and modeled  $NO<sub>2</sub>$  columns often reveals a widespread and persistent low model bias, often attributed to the free troposphere. In this project, we will evaluate whether TEMPO  $NO<sub>2</sub>$ columns can constrain NOx emissions as successfully as the Geostationary Coastal and Air Pollution Events (GEO-CAPE) Airborne Simulator (GCAS) aircraft and determine whether updates to NOx chemistry (particle nitrate photolysis),  $NO<sub>2</sub>$  vertical distribution and the NOx emissions inventory in the CAMx model can improve agreement with measured  $NO<sub>2</sub>$  columns.

Recently, Shah et al. (2023) comprehensively evaluated free troposphere NOx (FT-NOx) measurements over the Continental United States (CONUS), agreement between the Goddard Earth Observing System with Chemistry (GEOS-chem) model and these measurements, emission source contributions to FT-NOx in GEOS-chem, the potential influence of particulate nitrate (pNO3) photolysis to FT-NOx, and overall implications for comparing modeled to observed  $NO<sub>2</sub>$  columns. Findings of Shah et al. guide our approach to improving CAMx simulations of FT-NOx.

Figure 1, adapted from Shah et al., shows vertically resolved source contributions to NOx over the contiguous US in summer (August). Lightning and aircraft NOx emissions in the FT are important above the planetary boundary layer (PBL) and particularly important to column  $NO<sub>2</sub>$  measurements because instrument sensitivity is greater above the PBL. Upward transport of near-ground NOx emissions makes these emissions important throughout the tropospheric column. We will (1) add aircraft emissions above 1,000 ft above ground level (agl) because they are usually omitted from regulatory modeling databases, including Texas Commission on Environmental Quality (TCEQ's) modeling platform (2) improve estimation of soil NOx emissions by updating the Berkeley Dalhousie Soil NOx Parameterization (BDSNP; Hudman et al., 2012) algorithm in MEGAN3 (3) improve the Weather Research and Forecasting (WRF)-CAMx treatment of vertical diffusion in the FT which can impact vertical transport of NOx. We will also implement in CAMx a scheme for pNO3 photolysis similar to Shah et al. while preserving the integrity of the CAMx tools for source apportionment (Ozone Source Apportionment Technology and Particulate Source Apportionment Technology; OSAT and PSAT) and sensitivity analysis (Direct Decoupled Method; DDM).



**Figure 1.** Vertically resolved source contributions to NOx over the contiguous US in summer modeled by GEOS-chem (adapted from Shah et al., 2023).

## <span id="page-25-0"></span>**3.0 Objectives**

This project will determine how TEMPO can be used for NOx emissions evaluation. We will use TEMPO  $NO<sub>2</sub>$  measurements to evaluate CAMx model updates to NOx chemistry, vertical distribution of NO<sub>2</sub> and the NOx emission inventory. Lessons learned and techniques developed for this project could be applied to other areas in the United States. The project will also demonstrate the capability to estimate NOx emissions using the flux divergence method and multilinear regression model applied to TEMPO  $NO<sub>2</sub>$ measurements.

## <span id="page-25-1"></span>**4.0 Task Descriptions**

In this project we will use hourly TEMPO NO<sub>2</sub> information ( $2 \times 4.5$  km<sup>2</sup>). Complementing the satellite observations, we will run CAMx with  $4 \times 4$  km<sup>2</sup> spatial resolution and NO<sub>2</sub> sector-based source apportionment over Texas using the 2019 TCEQ emissions inventory. Task 1 will add aircraft emissions and enhanced soil NOx emissions and perform an initial CAMx simulation. After developing diurnal NOx emissions estimates from TEMPO (Task 2), we will then compare CAMx model output with TEMPO data to generate an initial set of baseline NO<sub>2</sub> column comparisons (Task 3). Following this initial set of baseline comparisons, we will make modifications to the CAMx modeling configuration that include CAMx updates to aerosol nitrate photolysis and vertical distribution of  $NO<sub>2</sub>$  (Task 4). We will estimate NOx emissions using the flux divergence method to determine whether TEMPO can help constrain the TCEQ NOx emission inventory over Texas cities. Finally, we will use TEMPO NO<sub>2</sub> measurements and tagged NO<sub>2</sub> columns in a multi-linear regression model to estimate scaling factors for NO<sub>x</sub> emissions categories (Task 5).

#### <span id="page-26-0"></span>**Task 1: Texas 4 km CAMx baseline simulation for NO<sup>2</sup> and Ozone**

We will run WRF and CAMx with 4 km grid resolution over Texas for August 25 – September 30, 2023 using emission inventory data from the TCEQ. Ramboll will perform the model simulations and is experienced working with these models and TCEQ emission data. We will follow TCEQ's 2019 State Implementation Plan (SIP) WRF and CAMx configurations to the extent possible for consistency and to enable use of TCEQ emission data.

Anthropogenic emissions will be from the 2019 TCEQ modeling inventory (closest to 2023 available) with addition of aircraft climb-out (above 1 km) and cruise emissions based on the Emissions Database for Global Atmospheric Research (EDGAR) 0.1° global database (Crippa et al., 2023). Lightning NOx (LNOx) emissions will be developed using Ramboll's LNOx processor. Biogenic emissions will be developed using our WRF simulation and the MEGAN version 3.2 developed by Ramboll in AQRP project 18-005 (Guenther et al., 2012).

We will update the soil NOx  $(S_{Nox})$  parameterization within MEGAN v3.2 from the standard BDSNP algorithm to use the soil moisture function of Huber et al. (2023). The standard BDSNP produces peak soil NOx emissions at 30% water-filled pore space (WFPS) for all grid cells, whereas the updated version produces peak emissions at the median WFPS for each grid cell producing a more dynamic emissions parameterization. In the central/eastern U.S., where WFPS from WRF typically exceeds 30% depending on the land surface model used, the updated parameterization tends to increase April-July soil NOx emissions and decrease July-September soil NOx emissions. Preliminary evaluation of the updated scheme for 2019 using WRF-Chem found improved seasonal variation in NO<sub>2</sub> columns relative to TROPOMI over agricultural regions of the Midwest (Figure 2).



Figure 2. Tropospheric NO<sub>2</sub> Vertical Column Densities (VCDs) for April–September 2019 averaged for the Midwest Corn Belt region determined from (a) TROPOMI observations, (b) WRF-Chem with standard BDSNP and (c) WRF-Chem with Huber et al. (2023) updated BDSNP  $S_{NOX}$  schemes. Lines show daily average (thin lines), monthly average simulated (solid thick lines) and monthly average TROPOMI VCDs (dashed thick lines). Adapted from Huber et al. (2024).

We will use the CAMx OSAT source apportionment tool to tag  $NO<sub>2</sub>$  from 8 sectors (Table 2). We provide sample spatial maps of surface layer tagged  $NO<sub>2</sub>$  contributions from on-road mobile (left) and shipping (right) emissions over Houston as part of AQRP 22-023 in Figure 3. We will evaluate CAMx  $NO<sub>2</sub>$  and ozone surface concentrations using data collected at TCEQ Continuous Air Monitoring Stations (CAMS) within the 4 km CAMx domain. We will compute tropospheric column  $NO<sub>2</sub>$  (including source tagging; Table 2) from CAMx layers between the surface and ~13 km as discussed in Task 3.

**Table 2.** Source sectors for NO<sub>2</sub> tagging in CAMx.



Once preliminary results from model/satellite intercomparison are available (Task 3), the model will be re-run for short periods to test and potentially refine the CAMx updates to particulate nitrate chemistry and vertical distribution of NO<sub>2</sub> described under Task 4. The final CAMx simulation will be compared to the initial baseline simulation as well as surface observations and TEMPO  $NO<sub>2</sub>$  columns.



**Figure 3.** Surface layer tagged NO<sub>2</sub> contributions from on-road mobile (left) and shipping (right) emissions for September 8, 2021 13:00 CST over Houston as part of AQRP 22-023.

## <span id="page-27-0"></span>**Task 2: Create TEMPO NO<sup>2</sup> Diurnal Profiles and Compare to Diurnal NOx Emission Maps**

TEMPO is the first remote sensing instrument to continuously gather information on column  $NO<sub>2</sub>$  during all daylight hours over the continental United States. Before 2023, low earth orbiting instruments, such as OMI and TROPOMI, were able to gather column  $NO<sub>2</sub>$  information only in the early afternoon. Prior remote sensing instruments were informative, but assumptions were needed to translate the early afternoon measurement to a different hour of the day or a full-day average. The new capability provided by TEMPO allows us to evaluate the NOx emission inventories during individual hours separately, and target times of days when emission patterns vary. The key capability is being able to compare hours individually within a single day. For example, NOx emissions from on-road vehicles have a bimodal emission pattern peaking in the evening, while having a secondary peak in the morning. Measurements from TEMPO in the morning (~9 AM), early afternoon (~1 PM) and early evening (~5 PM) may be compared to each other, after accounting for meteorological and NO<sub>2</sub> lifetime differences at each hour, to understand relative emission patterns at each hour.

For this task, we will process TEMPO NO<sub>2</sub> data to a  $0.01^\circ \times 0.01^\circ$  grid, and then plot the diurnal NO<sub>2</sub> patterns during August 1 – October 31, 2023, centered on September 2023, for seven ~50 x 50 km regions: Dallas-Fort Worth, Houston, San Antonio, Austin, a power plant (Martin Lake), and rural areas (with low NOx emissions) in East Texas and West Texas. Measurements within the full region of interest will be averaged together to create a single value representative of the region, rather than any specific location within the region. TEMPO  $NO<sub>2</sub>$  measurements in the early afternoon will be compared to coincident TROPOMI NO2measurements at approximately 13:30 local time to understand potential differences between the two instruments.

During September 2023, there were, on average, 14 TEMPO NO<sup>2</sup> observations per day, with only two days fully missing (September 5<sup>th</sup> & 8<sup>th</sup>). The September 2023 timeframe also had several instances of TEMPO "special operations" (more frequent scans) over eastern Texas when there were up to 35 scans per day. These special operations occurred on September 11 – 12, 2023 and September 17 – 19, 2023, and instead of one TEMPO measurement per hour, there were five measurements per hour for several hours. The rapid scans will allow us to increase the instrument's signal to noise ratio and oversample to finer spatial resolution ( $\approx$ 1 km) within each hour.

We will then process additional variables to convert *diurnal column NO<sup>2</sup>* patterns into *diurnal NOx emissions* patterns. First, we will need to subtract out a background/inflow value to calculate a localized  $NO<sub>2</sub>$  enhancement; this will be individually done for each hour by calculating the values at the inflow boundary of the target domain. Then we will need to assume an  $NO<sub>2</sub>$  lifetime at the individual hour to convert NO<sub>2</sub> enhancements into a NOx enhancement. At first, an NO<sub>2</sub> lifetime will be approximated, and then when the CAMx simulation is complete, a more informed  $NO<sub>2</sub>$  lifetime can be used. Finally, we will need to account for meteorological variables, such as boundary layer depth and wind speed. European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis v5 (ERA5) data will be used, and WRF data will be incorporated if we see major differences between the two datasets. The ultimate goal of this task to develop a method to generate diurnal NOx emissions patterns without the use of a chemical transport model that could then be used to evaluate diurnal patterns assumptions of NOx emissions input into chemical transport models such as CAMx.

#### <span id="page-28-0"></span>**Task 3: Comparison of NO<sup>2</sup> Columns between CAMx and TEMPO**

To elucidate how CAMx baseline (Task 1) and with updates for nitrogen chemistry and vertical transport (Task 4) is performing, we will also compare the model output to TEMPO. TEMPO has the advantage of having spatially continuous measurements across Texas during cloud-free daylight hours and allows an evaluation of  $NO<sub>2</sub>$  in areas without ground monitors.

For this task, we will re-grid the TEMPO  $NO<sub>2</sub>$  columns onto the CAMx 4 km grid and re-calculate the  $NO<sub>2</sub>$ vertical columns using the simulated  $NO<sub>2</sub>$  column information from the CAMx simulation. Once regridded to the CAMx 4 km grid, the data can be averaged over multiple days to obtain gridded TEMPO NO<sup>2</sup> averages during each individual daylight hour.

Once the satellite observations and model simulation are on the same model grid, then an intercomparison between the datasets can be performed. As a prerequisite to this, we will need to process the satellite observations using the  $NO<sub>2</sub>$  vertical profile information from CAMx. Without this step, TEMPO satellite observations would be subject to artifacts related to their original a priori assumptions provided by the National Aeronautics and Space Administration (NASA) GEOS Composition Forecasting (GEOS-CF) model. After this re-processing is completed, it is appropriate to directly compare NO<sub>2</sub> from model and satellite to each other. TEMPO, in comparison to low earth orbiters such as OMI and TROPOMI, is acquiring measurements when the sun angle is lower (i.e., when the visible light pathway through the troposphere is longer), so it is probable that NO<sub>2</sub> profile information from CAMx will yield larger changes for TEMPO NO<sub>2</sub> column measurements than TROPOMI, especially during the morning and evening hours.

The analysis will compare  $NO<sub>2</sub>$  on a county-level scale for seven representative locations in the domain (Dallas-Fort Worth, Houston, San Antonio, Austin, the Martin Lake power plant, and rural areas (with low NOx emissions) in East Texas and West Texas) and on a day-to-day basis when the two datasets (model and satellite) are collocated in time and space. We will then perform this analysis successively to evaluate impacts of the CAMx model updates.

#### <span id="page-29-0"></span>**Task 4: CAMx Updates and Testing**

Following the baseline CAMx simulation and subsequent model performance evaluation (Task 1) and comparison to TEMPO  $NO<sub>2</sub>$  columns (Task 3), we will perform several updates to NOx chemistry and vertical mixing described below. We will then perform short CAMx sensitivity tests without source apportionment and compare with TEMPO NO<sub>2</sub> columns to determine the impact of these changes.

#### <span id="page-29-1"></span>*Chemistry Updates*

Many studies report that the photolysis frequency of particulate-phase nitrate ion (pNO3) is enhanced relative to gas-phase HNO3 photolysis by a factor of 10-500, as summarized by Shah et al. (2023). The process is potentially important to NOx availability where direct NOx emissions are small because the products of pNO3 photolysis are NO<sup>2</sup> and/or HONO (termed renoxification). However, details of pNO3 photolysis remain uncertain. We will adopt the parametrization of pNO3 photolysis developed by Shah et al. (2023) which was constrained by measurements and their global GEOS-chem simulation. Their parameterization focuses on pNO3 associated with sea-salt aerosol and therefore has most impact over oceanic regions, especially at tropical latitudes. We will evaluate how introducing pNO3 photolysis in CAMx influences NO2-columns and oxidant concentrations in continental and marine environments, and especially over the Gulf of Mexico. Several air quality models, including CAMx, tend to overestimate ozone over the Gulf (AQRP project 22-008) which may be exacerbated by adding pNO3 photolysis (Shah et al., 2023). We would update the CAMx source apportionment (OSAT and PSAT) to account for pNO3 photolysis and also update the DDM sensitivity analysis if resources allow.

#### <span id="page-29-2"></span>*Vertical Mixing*

As an off-line model, CAMx receives gridded meteorological input data that is usually created from WRF by the WRFCAMx processor. WRFCAMx must be flexible to work with the variety of PBL parameterization schemes available with WRF, e.g., vertical mixing described by a turbulent kinetic energy (TKE) scheme vs. bulk boundary layer mixing schemes. Within CAMx, vertical mixing is modeled using K-theory and relies on turbulent mixing coefficients (Kv) diagnosed by WRFCAMx using parameters available in WRF output files. We will investigate potential updates to the Kv diagnosis in WRFCAMx by intercomparing existing Kv parameterizations, noting strengths and weaknesses among all schemes in generating turbulent mixing fields in time and space (including the FT) for short periods. For urban areas, the existing parameterization optionally adjusts Kv to account for urban roughness and heatisland effects not represented by standard WRF simulations and we will reevaluate the magnitude and height range of this adjustment. We will evaluate these updates by running CAMx and examining surface NO<sub>2</sub> concentrations, NO<sub>2</sub> vertical profiles and NO<sub>2</sub> columns for urban and rural areas of Texas.

#### <span id="page-29-3"></span>**Task 5: Estimating NOx Emissions by Sector and by Time of Day using CAMx Source Apportionment and TEMPO Retrievals**

The flux divergence method can provide high resolution maps of NOx emissions using spatial remote sensing data. The method has been used to identify point sources and intra-urban variations using

TROPOMI retrievals over Houston (Goldberg et al., 2022), cities in South Asia (de Foy and Schauer, 2022) and elsewhere around the world (Beirle et al., 2023). We also applied this method to GCAS retrievals over Houston in AQRP 22-023 and it was able to identify major highways, shipping lanes in Galveston Bay and large point sources in the greater Houston area.

In this project, we will apply the flux divergence method for the first time to TEMPO data. The main advantage of TEMPO over other satellite products such as TROPOMI is hourly resolution during daylight hours. We will therefore be able to carry out the flux divergence method by time of day to estimate the diurnal variability in emissions for the model domain.

In AQRP 22-023, we applied a Multi-Linear Regression model to obtain an optimal match between the CAMx columns by source sectors and the GCAS  $NO<sub>2</sub>$  columns. This analysis revealed that the mobile source emissions were potentially underestimated in the inventory, while the ship emissions were possibly overestimated, as shown in Figure 4. For this project, we will use a similar algorithm to optimize the match between the CAMx NO<sub>2</sub> columns and the TEMPO retrievals. This will be done individually by time of day so that we can estimate diurnal profiles of emissions for distinct source sectors. Large point sources have known diurnal profiles that will be used to evaluate the method. These profiles will be compared with diurnal profiles of emissions in urban areas. As in the previous project, we will perform an uncertainty analysis using multi-level bootstrapping, using scenes to be included in the analysis as well as the pixels within each scene. This combination was found to give a robust estimate of both the adjustment factors and their uncertainty. We expect that using this method with TEMPO results will have a reduced spatial resolution compared with GCAS, but an improved temporal resolution: we will be able to determine differences by time of day as well as by day of the week. There will also be many more scenes available thereby reducing noise and the uncertainty in the results.



## Emission adjustments by source sector

**Figure 4.** Box-and-whisker plot of scaling factors obtained from the Multi Linear Regression Model with 100 bootstrapped selection of rasters each consisting of 100 bootstrapped selection of grid blocks included in the analysis. Percentages show the fraction of domain-wide NOx emissions from each sector. Adapted from Goldberg et al. (2024a).

#### <span id="page-31-0"></span>**Task 6: Project Management and Reporting**

At the start of the project, the team will develop and submit to the AQRP a work plan that includes scope of work (this document), detailed budget, and a Quality Assurance Project Plan (QAPP). The QAPP will conform to Category III projects and with Environmental Protection Agency (EPA 2001) QA/R-5 guidance. Once approved, the team will hold a kickoff call with AQRP and TCEQ representatives to discuss the work plan and specific details of the project, answer questions, and address anticipated issues. During the project, we will submit monthly technical and financial reports, and quarterly progress reports, adhering to AQRP requirements.

At the close of the project, we will develop a final project presentation to be delivered at the 2025 AQRP workshop at the University of Texas in Austin. The team will also produce a draft final report and a final report documenting all activities performed for the study, summarizing project findings and recommendations for future research, and emphasizing those findings of interest to modelers and planners at TCEQ. All reports developed during this project will conform to AQRP accessibility requirements and formats. The team will make available all datasets developed during the project to the AQRP and TCEQ at the end of the project.

## <span id="page-31-1"></span>**5.0 Schedule**



The planned duration of the project is 14 months (August 2024 – September 2025). Figure 5 presents the schedule for each task and project deliverable.

**Figure 5.** Planned project schedule.

## <span id="page-31-2"></span>**6.0 Project Participants and Responsibilities**

Mr. Jeremiah Johnson will lead the project as PI and coordinate collaboration with Dr. Daniel Goldberg (co-PI), Dr. Daniel Huber and Dr. Benjamin de Foy (co-PI) who will be consultants to Ramboll.

Mr. Jeremiah Johnson will oversee the emissions processing, CAMx model development, WRF and CAMx simulations. Dr. Daniel Goldberg will process the TEMPO satellite data, create diurnal NO<sup>2</sup> profiles, and compare the TEMPO NO<sup>2</sup> columns with CAMx NO<sup>2</sup> columns. Dr. Benjamin de Foy will calculate diurnal NOx emissions by sector from TEMPO satellite measurements applying flux divergence and advanced statistical methods. Dr. Daniel Huber will modify the default soil NOx parameterization within the MEGAN biogenic emissions model to include an updated soil moisture function.

## <span id="page-33-0"></span>**7.0 References**

- Beirle, S., Borger, C., Jost, A., and Wagner T. 2023. "Improved catalog of NOx point source emissions (version 2)." Earth System Science Data 15, no. 7: 3051-3073.
- Canty, T.P., Hembeck, L., Vinciguerra, T.P., Anderson, D.C., Goldberg, D.L., Carpenter, S.F., Allen, D.J., Loughner, C.P., Salawitch, R.J. and Dickerson, R.R., 2015. Ozone and NO x chemistry in the eastern US: evaluation of CMAQ/CB05 with satellite (OMI) data. Atmospheric Chemistry and Physics, 15(19), pp.10965-10982.
- Crippa, M., Guizzardi, D., Butler, T., Keating, T., Wu, R., Kaminski, J., Kuenen, J., Kurokawa, J., Chatani, S., Morikawa, T., Pouliot, G., Racine, J., Moran, M. D., Klimont, Z., Manseau, P. M., Mashayekhi, R., Henderson, B. H., Smith, S. J., Suchyta, H., Muntean, M., Solazzo, E., Banja, M., Schaaf, E., Pagani, F., Woo, J.-H., Kim, J., Monforti-Ferrario, F., Pisoni, E., Zhang, J., Niemi, D., Sassi, M., Ansari, T., and Foley, K.: The HTAP\_v3 emission mosaic: merging regional and global monthly emissions (2000–2018) to support air quality modelling and policies, Earth Syst. Sci. Data, 15, 2667–2694, doi:10.5194/essd-15-2667-2023, 2023.
- Curier, R.L., Kranenburg, R., Segers, A.J.S., Timmermans, R.M.A. and Schaap, M., 2014. Synergistic use of OMI NO<sup>2</sup> tropospheric columns and LOTOS–EUROS to evaluate the NOx emission trends across Europe. Remote Sensing of Environment, 149, pp.58-69.
- de Foy, B., Lu, Z., Streets, D.G., Lamsal, L.N., Duncan, B.N., 2015. Estimates of power plant NOx emissions and lifetimes from OMI NO<sub>2</sub> satellite retrievals. Atmos. Environ. 116, 1-11. https://doi.org/10.1016/j.atmosenv.2015.05.056
- de Foy, B., Schauer, J.J., 2022. An improved understanding of NOx emissions in South Asian megacities using TROPOMI NO<sup>2</sup> retrievals. Environ. Res. Lett. https://doi.org/10.1088/1748-9326/AC48B4
- Goldberg, D.L., Anenberg, S.C., Lu, Z., Streets, D.G., Lamsal, L.N., E McDuffie, E., Smith, S.J., 2021. Urban NOx emissions around the world declined faster than anticipated between 2005 and 2019. Environ. Res. Lett. 16, 115004. https://doi.org/10.1088/1748-9326/ac2c34
- Goldberg, D.L., Harkey, M., de Foy, B., Judd, L., Johnson, J., Yarwood, G. and Holloway, T., 2022. Evaluating NO x emissions and their effect on O 3 production in Texas using TROPOMI NO 2 and HCHO. Atmospheric Chemistry and Physics, 22(16), pp.10875-10900.
- Goldberg, D.L., Lu, Z., Oda, T., Lamsal, L.N., Liu, F., Griffin, D., McLinden, C.A., Krotkov, N.A., Duncan, B.N., Streets, D.G., 2019a. Exploiting OMI NO<sub>2</sub> satellite observations to infer fossil-fuel CO<sub>2</sub> emissions from U.S. megacities. Sci. Total Environ. 695, 133805. https://doi.org/10.1016/j.scitotenv.2019.133805
- Goldberg, D.L., Lu, Z., Streets, D.G., de Foy, B., Griffin, D., McLinden, C.A., Lamsal, L.N., Krotkov, N.A., Eskes, H.J., 2019b. Enhanced Capabilities of TROPOMI NO2: Estimating NOx from North American Cities and Power Plants. Environ. Sci. Technol. 53, 12594–12601. https://doi.org/10.1021/acs.est.9b04488
- Goldberg, D.L., Nawaz, M.O., Johnson, J., Yarwood, G., Judd, L., de Foy, B., 2023. Source-sector NOx emissions analysis with sub-kilometer scale airborne observations in Houston during TRACER-AQ AQRP Project 22-023.
- Goldberg, D.L., de Foy, B., Nawaz, M. O., Johnson, J., Yarwood, G., and Judd, L., 2024a. Identifying Sources of NOx emissions from Aircraft through Source Apportionment and Regression Models.

ChemRxiv[. https://doi.org/10.26434/chemrxiv-2024-g65lr.](https://doi.org/10.26434/chemrxiv-2024-g65lr) This content is a preprint and has not been peer-reviewed.

- Goldberg, D.L., Tao, M., Kerr, G.H., Ma, S., Tong, D.Q., Fiore, A.M., Dickens, A.F., Adelman, Z.E. and Anenberg, S.C., 2024b. Evaluating the spatial patterns of US urban NOx emissions using TROPOMI NO2. Remote Sensing of Environment, 300, p.113917.
- Harkey, M., Holloway, T., Oberman, J. and Scotty, E., 2015. An evaluation of CMAQ NO2 using observed chemistry‐meteorology correlations. Journal of Geophysical Research: Atmospheres, 120(22), pp.11-775.
- Holloway, T., Harkey, M., Kim, E., Johnson, J., Yarwood, G., Goldberg, D.L., 2021. New Satellite Tools to Evaluate Emission Inventories: Is a 3-D Model Necessary? AQRP Project 20-020.
- Huber, D. E., Kort, E.A. & Steiner, A. L. 2024. Soil Moisture, Soil NOx and Regional Air Quality in the Agricultural Central United States. Journal of Geophysical Research: Atmospheres. https://doi.org/10.1029/2024JD041015.
- Huber, D. E., Steiner, A. L. & Kort, E. A. 2023. Sensitivity of Modeled Soil NOx Emissions to Soil Moisture. Journal of Geophysical Research: Atmospheres. [https://doi.org/10.1029/2022JD037611.](https://doi.org/10.1029/2022JD037611)
- Kemball-Cook, S., Yarwood, G., Johnson, J., Dornblaser, B. and Estes, M., 2015. Evaluating NOx emission inventories for regulatory air quality modeling using satellite and air quality model data. Atmospheric Environment, 117, pp.1-8.
- Nawaz, M. O., Johnson, J., Yarwood, G., de Foy, B., Judd, L. M., and Goldberg, D. L.: An intercomparison of satellite, airborne, and ground-level observations with WRF-CAMx simulations of  $NO<sub>2</sub>$ columns over Houston, TX during the September 2021 TRACER-AQ campaign, Atmospheric Chemistry and Physics, 24(11), pp.6719-6741.
- Ninneman, M., Lu, S., Zhou, X. and Schwab, J., 2020. On the Importance of Surface-Enhanced Renoxification as an Oxides of Nitrogen Source in Rural and Urban New York State. ACS Earth and Space Chemistry, 4(11), pp.1985-1992.
- Shah, V., Jacob, D. J., Dang, R., Lamsal, L. N., Strode, S. A., Steenrod, S. D., Boersma, K. F., Eastham, S. D., Fritz, T. M., Thompson, C., Peischl, J., Bourgeois, I., Pollack, I. B., Nault, B. A., Cohen, R. C., Campuzano-Jost, P., Jimenez, J. L., Andersen, S. T., Carpenter, L. J., Sherwen, T., and Evans, M. J.: Nitrogen oxides in the free troposphere: implications for tropospheric oxidants and the interpretation of satellite  $NO<sub>2</sub>$  measurements, Atmos. Chem. Phys., 23, 1227-1257, https://doi.org/10.5194/acp-23-1227-2023, 2023.
- Shi, Q., Tao, Y., Krechmer, J.E., Heald, C.L., Murphy, J.G., Kroll, J.H. and Ye, Q., 2021. Laboratory investigation of renoxification from the photolysis of inorganic particulate nitrate. Environmental science & technology, 55(2), pp.854-861.
- Souri, A.H., Choi, Y., Jeon, W., Li, X., Pan, S., Diao, L. and Westenbarger, D.A., 2016. Constraining NOx emissions using satellite NO<sub>2</sub> measurements during 2013 DISCOVER-AQ Texas campaign. Atmospheric environment, 131, pp.371-381.
- Travis, K.R., Jacob, D.J., Fisher, J.A., Kim, P.S., Marais, E.A., Zhu, L., Yu, K., Miller, C.C., Yantosca, R.M., Sulprizio, M.P. and Thompson, A.M., 2016. Why do models overestimate surface ozone in the Southeast United States? Atmospheric Chemistry and Physics, 16(21), pp.13561-13577

#### **Budget and Budget Justification**

#### **Project #24-004 Evaluating Updates to CAMx and NOx Emission Inventories using TEMPO Measurements over Texas**

Prepared for

Air Quality Research Program (AQRP) The University of Texas at Austin

By

Jeremiah Johnson (Principal Investigator) Ramboll Novato, CA

Dr. Dan Goldberg (co-Principal Investigator) George Washington University Washington, D.C.

Dr. Benjamin de Foy (co-Principal Investigator) Saint Louis University Saint Louis, MO

> August 22, 2024 Version #1

QA Requirements: Audits of Data Quality: 10% Required Report of QA Findings: Required in Final Report

**NOTE: The Workplan package consists of three independent documents: Scope of Work, Quality Assurance Project Plan (QAPP), and budget and justification. Please deliver each document (as well as all subsequent documents submitted to AQRP) in Microsoft Word format.**

#### **Approvals**

**This Budget was approved electronically on by RoseAnna Goewey, The University of Texas at Austin** 2024-09-20 | 07:53:20 CDT

DocuSigned by: 凡儿 \_

RoseAnna Goewey Program Manager, Texas Air Quality Research Program

**This Budget was approved electronically on by Elena McDonald-Buller, The University of Texas at Austin** 2024-09-22 | 08:05:19 CDT

Signed by: \_

Elena McDonald-Buller Project Manager, Texas Air Quality Research Program

**This Budget was approved electronically on** 2024-09-20 | 07:56:13 CDT **by Robert Keirstead, Texas Commission on Environmental Quality**

Signed by: \_

Robert Keirstead Project Liaison, Texas Commission on Environmental Quality

#### **Loaded Hourly Labor Lbr Classification/Name Rate Hrs Dollars** P4 Chris Emery 249.00 66 16,434 Gary Wilson 249.00 12 2,988 Greg Yarwood 249.00 94 23,406 Jeremiah Johnson 249.00 120 29,880 P3 Jung Chien 214.00 28 5,992 Pradeepa Vennam 214.00 94 20,116 P2 Trang Tran 183.00 84 15,372 Liji David 183.00 40 7,320 P1 Blake Himes 139.00 94 13,066 Fianna Li 139.00 156 21,684 Support 107.00 19 2,033 **Ramboll Labor Subtotal** 807 158,291 Daniel Goldberg 30,000 Daniel Huber 10,000 Benjamin de Foy 30,000 Travel **1,000** Equipment 400 **ODCs Subtotal** 1,400 **GRAND TOTAL** 807 229,691 **Total**

#### **Budget and Budget Justification**

The team's proposed total budget is \$229,691, of which \$228,291 is allocated to labor charges and \$1,400 to other direct costs. Ramboll's labor rates are based on current fully loaded rates agreed between the TCEQ and Ramboll. Dr. Greg Yarwood will update the CB7 chemical mechanism and source apportionment schemes in CAMx to include aerosol nitrate photolysis. Mr. Chris Emery will update the vertical mixing scheme in the WRFCAMx processor. The budget includes \$30,000 for Dr. Daniel Goldberg, \$10,000 for Dr. Daniel Huber and \$30,000 for Dr. Benjamin De Foy, all as direct consultants to Ramboll. Ramboll will not add any additional fees to consultant costs. Roles for key personnel are provided above.

Direct costs include a travel budget of \$1,000 for the project Principal Investigator to attend the AQRP workshop in Austin, Texas. Direct costs also include expenses for high-volume disk drives for the data transfer at the end of the project.

# DocuSian

#### **Certificate Of Completion**

Envelope Id: ACAEB336C7544F85879DBB9D5B2352A8 Status: Completed Subject: Complete with Docusign: AQRP-24-004 (Johnson-Ramboll) Workplan Signature Source Envelope: Document Pages: 39 Signatures: 9 Signatures: 9 Envelope Originator: Certificate Pages: 5 **Initials: 0 Initials: 0 Initials: 0 RoseAnna Goewey** AutoNav: Enabled EnvelopeId Stamping: Enabled

#### **Record Tracking**

Status: Original 9/20/2024 7:38:32 AM

#### **Signer Events Signature Timestamp**

Elena McDonald-Buller ecmb@eid.utexas.edu Security Level: Email, Account Authentication (None)

Time Zone: (UTC-06:00) Central Time (US & Canada)

#### **Electronic Record and Signature Disclosure:**  Not Offered via DocuSign

Jeremiah Johnson

jjohnson@ramboll.com Security Level: Email, Account Authentication (None)

**Electronic Record and Signature Disclosure:**  Accepted: 9/20/2024 9:36:52 AM ID: 25f4a1a8-1851-4a11-9bdc-f16dad076032

Robert Keirstead

Robert.Keirstead@tceq.texas.gov Security Level: Email, Account Authentication

(None)

**Electronic Record and Signature Disclosure:**  Accepted: 9/20/2024 7:53:22 AM ID: 4dfdd21d-e4a9-495d-a1b9-9d2f9512686a

Roseanna Goewey

goeweyrc@eid.utexas.edu

Program Manager

UT Austin

Security Level: Email, Account Authentication (None)

**Electronic Record and Signature Disclosure:**  Not Offered via DocuSign

Holder: RoseAnna Goewey goeweyrc@eid.utexas.edu



Signature Adoption: Pre-selected Style Using IP Address: 104.28.92.168 Signed using mobile

Signed by: (Jeremia) Japason -7822ECB9B2AD4C5..

Signature Adoption: Pre-selected Style Using IP Address: 24.5.93.54

1 University Station Austin, TX 78712 goeweyrc@eid.utexas.edu IP Address: 136.62.255.229

Location: DocuSign

Sent: 9/20/2024 7:52:27 AM Viewed: 9/22/2024 8:04:12 AM Signed: 9/22/2024 8:05:19 AM

Sent: 9/20/2024 7:52:30 AM Viewed: 9/20/2024 9:36:52 AM Signed: 9/20/2024 9:39:39 AM

 $\mathscr{V}$ 48018C8AA28410

Signature Adoption: Uploaded Signature Image Using IP Address: 136.62.102.220

Sent: 9/20/2024 7:52:28 AM Viewed: 9/20/2024 7:53:22 AM Signed: 9/20/2024 7:56:13 AM

Kgroup -5F69F9F496774FD

Signature Adoption: Uploaded Signature Image Using IP Address: 136.62.255.229

Sent: 9/20/2024 7:52:27 AM Viewed: 9/20/2024 7:52:49 AM Signed: 9/20/2024 7:53:20 AM



## **ELECTRONIC RECORD AND SIGNATURE DISCLOSURE**

#### **Notices and disclosures about using DocuSign will be sent to you electronically**

Please read the information below carefully and thoroughly. If you agree to these terms and conditions of conducting DocuSign electronic transactions with The University of Texas at Austin (we, us, or company), confirm your agreement by checking the box "I agree to use electronic records and signatures" on the DocuSign signing interface. Contact [rms@austin.utexas.edu](mailto:rms@austin.utexas.edu) if you cannot access the full Electronic Record and Signature Disclosure document to your satisfaction.

You have the right to decline to conduct this transaction electronically. If you elect to decline to conduct this transaction electronically, contact the sender of the document by replying to the email you received from [dse@docusign.net](mailto:dse@docusign.net) and work with the sender to complete and sign your documents outside of DocuSign. Refer to the section "Withdrawing Your Consent" below for further information about declining to conduct this transaction electronically.

Unless you tell us otherwise in accordance with the procedures described herein, once you have agreed to use electronic records and signatures, we will provide required notifications and disclosures via secure link sent to the email you have provided us.

#### **Copies of documents signed via DocuSign**

You can view, download an electronic copy, or print a paper copy of any completed document that you have DocuSigned to transact business with The University of Texas at Austin by using the document link in your DocuSign notification.

The option to directly obtain copies of your completed DocuSign document from the link in the notification email is available for at least 14 days after the notification of completion date. If you need a copy of your DocuSigned document and you can no longer directly access it, you must contact the sender or university department listed as the sender from your DocuSign notification email. Documents will be available from the sender for at least as long as the period required in the University Records Retention Schedule. Charges, if any, for copies will be billed at that time.

[Note that transcript purchases using DocuSign as a method of secure delivery are not electronic signature transactions..]

#### **Withdrawing your consent**

If you agree to receive notices, disclosures, and documents from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices, disclosures, and documents only in email attachment or paper format. (Please note some transactions may not be conducted via email due to security requirements.) You must inform us of your decision to receive future notices, disclosures, or documents in email attachment or paper format and withdraw your consent to receive notices, disclosures, and documents electronically as described below.

#### **To withdraw your consent with University of Texas at Austin**

To inform us that you no longer want to receive future notices and disclosures in electronic format you may:

- 1. decline to sign a document from within the DocuSign signing interface, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;
- 2. send an email to the document sender by replying to the DocuSign notice you received from [dse@docusign.net](mailto:dse@docusign.net) and in the body of such request you must state that you are withdrawing your consent to do electronic business with us via DocuSign and include your email address, full name, and telephone number. We do not need any other information from you to withdraw consent. After withdrawing your consent, you can in the future once again agree to do electronic business with us.

#### **Consequences of withdrawing your consent**

If you elect to receive required notices, disclosures, and documents only in email attachment or paper format, it will slow the speed at which we can complete certain steps in transactions with you and in delivering services to you because we will need first to send the required notices, disclosures, or documents to you in email attachment or paper format, and then wait until we receive back from you your acknowledgment of your receipt of such email attachment or paper notices or disclosures.

#### **How to contact University of Texas at Austin:**

You can reply to the sender of your document by replying to the notice from  $dse@docusign.net$ for that specific transaction. For additional assistance with using DocuSign to conduct business with us you may contact us at  $\text{rms@austin.}$ utexas.edu.

**For questions regarding transcripts**, contact the Registrar's office at [transcripts@austin.utexas.edu](mailto:transcripts@austin.utexas.edu) or [http://registrar.utexas.edu/students/transcripts.](http://registrar.utexas.edu/students/transcripts)

#### **To advise The University of Texas at Austin of your new email address**

To update your email address with us, send an email message to the sender or university department listed as the sender in your DocuSign notification email and in the body of such request state that your email address has changed; your previous email address; your new email address. We do not require any other information from you to change your email address.

In addition, if you have a DocuSign account associated with your email address, you must notify DocuSign, Inc. to arrange for your new email address to be reflected in your DocuSign account by following the process for changing email in the DocuSign system.

#### **Required hardware and software**

Most modern computers and smartphones will work with DocuSign. DocuSign keeps system requirements for signers listed and updated at this address:

#### <https://support.docusign.com/en/guides/signer-guide-signing-system-requirements>

Modern desktop and mobile web browsers which accept per session cookies typically support all DocuSign functionality needed by signers. An Acrobat Reader or similar software for viewing PDF files may be needed for viewing completed/downloaded documents.

#### **Acknowledging your access and consent to receive materials electronically**

In summary, to confirm to us that you can access this information electronically, which will be similar to other electronic notices, disclosures, and documents that we will provide to you, please verify that you were able to read this electronic disclosure and that you also were able to print on paper or electronically save this page for your future reference and access or that you were able to email this disclosure and consent to an address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices, disclosures and documents exclusively in electronic format on the terms and conditions described above, please confirm your agreement by checking the box "I agree to use electronic records and signatures" on the DocuSign signing interface..

By checking the "Agree" box, I confirm that:

- I can access and read this Electronic CONSENT TO ELECTRONIC RECEIPT OF ELECTRONIC RECORD AND SIGNATURE DISCLOSURES document; and
- I can print on paper the disclosure or save or send the disclosure to a place where I can print it, for future reference and access; and
- Until or unless I notify The University of Texas at Austin as described above, I consent to exclusively receive, through electronic means, all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to me by The University of Texas at Austin during the course of my relationship with you.